

**EXERCISE 1. (08 PTS)**

Let  $\Omega$  be a domain in  $\mathbb{R}^3$ , let  $\Gamma_0 \subset \partial\Omega$  with area  $\Gamma_0 > 0$  and let

$$\mathbf{V}(\Omega) = \left\{ \mathbf{v} = (v_i) \in \mathbf{H}^1(\Omega); \mathbf{v} = 0 \text{ on } \Gamma_0 \right\}.$$

1. Show that the semi-norm  $|.|$  defined by

$$|\mathbf{v}| = |e(\mathbf{v})|_{0,\Omega}$$

becomes a norm over the space  $\mathbf{V}(\Omega)$ .

2. Show that there exists a constant  $C(\Omega, \Gamma_0)$  such that

$$\|\mathbf{v}\|_{1,\Omega} \leq C(\Omega, \Gamma_0) |e(\mathbf{v})|_{0,\Omega} \text{ for all } \mathbf{v} \in \mathbf{V}(\Omega).$$

**EXERCISE 2. (12 PTS)**

We consider the unknown displacement  $u^\varepsilon = (u_i^\varepsilon)$  satisfies the following boundary problem

$$\begin{cases} -\partial_j^\varepsilon \{\lambda^\varepsilon e_{pp}^\varepsilon(u^\varepsilon) \delta_{ij} + 2\mu^\varepsilon e_{ij}^\varepsilon(u^\varepsilon)\} = f_i^\varepsilon \text{ in } \Omega^\varepsilon, \\ u_i^\varepsilon = 0 \text{ on } \Gamma_0^\varepsilon, \\ \{\lambda^\varepsilon e_{pp}^\varepsilon(u^\varepsilon) \delta_{ij} + 2\mu^\varepsilon e_{ij}^\varepsilon(u^\varepsilon)\} n_j^\varepsilon = \begin{cases} g_i^\varepsilon \text{ on } \Gamma_+^\varepsilon \cup \Gamma_-^\varepsilon, \\ 0 \text{ on } \Gamma_1^\varepsilon, \end{cases} \end{cases} \quad (1)$$

where  $e_{ij}^\varepsilon(u^\varepsilon) = \frac{1}{2}(\partial_i^\varepsilon u_j^\varepsilon + \partial_j^\varepsilon u_i^\varepsilon)$ ,  $f_i^\varepsilon \in L^2(\Omega^\varepsilon)$  and  $g_i^\varepsilon \in L^2(\Gamma_+^\varepsilon \cup \Gamma_-^\varepsilon)$ .

1. Find the variational formulation of (1) in the space  $V(\Omega^\varepsilon)$ .  
 2. Write the variational formulation obtained in the following form  $B^\varepsilon(u^\varepsilon, v^\varepsilon) = L^\varepsilon(v^\varepsilon)$ .  
 3. With each point  $x \in \bar{\Omega}$ , we associate the point  $x^\varepsilon \in \bar{\Omega}^\varepsilon$  through the bijection

$$\pi^\varepsilon : x = (x_1, x_2, x_3) \in \bar{\Omega} \rightarrow x^\varepsilon = (x_1, x_2, \varepsilon x_3) \in \bar{\Omega}^\varepsilon.$$

With the functions  $u^\varepsilon, v^\varepsilon \in V(\Omega^\varepsilon)$  we associate the functions  $u(\varepsilon), v$  defined by

$$\begin{cases} u_\alpha^\varepsilon(x^\varepsilon) = \varepsilon^2 u_\alpha(\varepsilon)(x), u_3^\varepsilon(x^\varepsilon) = \varepsilon u_3(\varepsilon)(x), \forall x^\varepsilon = \pi^\varepsilon x \in \bar{\Omega}^\varepsilon, \\ v_\alpha^\varepsilon(x^\varepsilon) = \varepsilon^2 v_\alpha(x), v_3^\varepsilon(x^\varepsilon) = \varepsilon v_3(x), \forall x^\varepsilon = \pi^\varepsilon x \in \bar{\Omega}^\varepsilon. \end{cases}$$

We make the following assumptions on the data

$$\begin{cases} \lambda^\varepsilon = \lambda, \mu^\varepsilon = \mu, f_\alpha^\varepsilon(x^\varepsilon) = \varepsilon^2 f_\alpha(x), f_3^\varepsilon(x^\varepsilon) = \varepsilon^3 f_3(x), \forall x^\varepsilon = \pi^\varepsilon x \in \Omega^\varepsilon, \\ g_\alpha^\varepsilon(x^\varepsilon) = \varepsilon^3 g_\alpha(x), g_3^\varepsilon(x^\varepsilon) = \varepsilon^4 g_3(x), \forall x^\varepsilon = \pi^\varepsilon x \in \Gamma_+^\varepsilon \cup \Gamma_-^\varepsilon, \end{cases}$$

where the constants  $\lambda > 0, \mu > 0$  and the functions  $f_i \in L^2(\Omega)$ ,  $g_i \in L^2(\Gamma_+ \cup \Gamma_-)$  are independent of  $\varepsilon$ .

- 3.1.** In the space  $V(\Omega)$ , formulate the equivalent "scaled" problem in the following form :

$$\frac{1}{\varepsilon^4} B_{-4}(u(\varepsilon), v) + \frac{1}{\varepsilon^2} B_{-2}(u(\varepsilon), v) + B_0(u(\varepsilon), v) = L(v). \quad (2)$$

- 3.2.** Show that the variational formulation (2) takes the following form :

$$\int_{\Omega} A \kappa(\varepsilon) : \kappa(\varepsilon; v) dx = L(v),$$

where  $AB : C = \lambda b_{pp} c_{qq} + 2\mu b_{ij} c_{ij}$ ,  $\kappa_{\alpha\beta}(\varepsilon; v) = e_{\alpha\beta}(v)$ ,  $\kappa_{\alpha 3}(\varepsilon; v) = \frac{1}{\varepsilon} e_{\alpha 3}(v)$ ,  $\kappa_{33}(\varepsilon; v) = \frac{1}{\varepsilon^2} e_{33}(v)$ ,

$$\kappa(\varepsilon) = \kappa(\varepsilon; u(\varepsilon)), e_{ij}(v) = \frac{1}{2}(\partial_i v_j + \partial_j v_i).$$

- 3.3.** Show that

$$\begin{aligned} u(\varepsilon) &\rightharpoonup u \text{ in } \mathbf{H}^1(\Omega), \varepsilon \rightarrow 0, \\ \kappa(\varepsilon) &\rightharpoonup \kappa \text{ in } \mathbf{L}_s^2(\Omega), \varepsilon \rightarrow 0. \end{aligned}$$

Cor. 1

1. We have  $\partial_j(\partial_k v_i) = \partial_j e_{ik}(v) + \partial_k e_{ij}(v) - \partial_i e_{jk}(v)$  in  $\mathcal{D}'(U)$ . (O)

therefore  $|e(v)|_{0,U} = 0 \Rightarrow \partial_j(\partial_k v_i) = 0$  in  $\mathcal{D}'(U)$ . (O)

By a classical result from distribution theory, shows that there exist constants  $c_i$  and  $b_{ij}$  such that

$$v_i(x) = c_i + \sum_{j=1}^3 b_{ij} x_j \quad \forall x = (x_i) \in U. \quad \text{(O)}$$

But  $e_{ij}(v) = 0$  also implies that  $b_{ij} = -b_{ji}$ . (O)

Hence there exist  $c, d \in \mathbb{R}^3$  such that (O)

$$v(x) = c + d \Lambda x \quad \forall x \in U. \quad \text{(O)}$$

Since area  $\Gamma_0 > 0$ , thus  $c = d = 0$ . Then  $v = 0$ . (O)

2. If this inequality is false, there exists a sequence  $(v^k)_{k=1}^\infty$  of elements  $v^k \in V(U)$  such that (O)

$$\|v^k\|_{1,U} = 1 \quad \forall k \quad \text{and} \quad \lim_{k \rightarrow \infty} |e(v^k)|_{0,U} = 0. \quad \text{(O)}$$

Hence  $(v^k)_{k=1}^\infty$  is bounded in  $H^1(U)$ , there exists a subsequence

$(v^{\ell})_{\ell=1}^\infty$  that converges in  $L^2(U)$  by the Rellich-Kondrakov theorem.

Also  $(e(v^\ell))_{\ell=1}^\infty$  converges in  $L^2(U)$ .

Therefore  $(v^\ell)_{\ell=1}^\infty$  is a Cauchy sequence with respect to the norm

$\|\cdot\|_{1,U}$  defined by (O)

$$\|v\|_{1,U} = \left\{ \|v\|_{0,U}^2 + |e(v)|_{0,U}^2 \right\}^{1/2}.$$

According to Korn's inequality without boundary conditions, we obtain

$$\|v\|_{1,U} \leq C(U) \|v\|_{0,U}. \quad \text{(O)}$$

then  $(v^\ell)_{\ell=1}^\infty$  is a Cauchy sequence with respect to the norm  $\|\cdot\|_{1,U}$ .

→ the space  $V(U)$  being complete, as a closed subspace of  $H^1(U)$ , there exists  $v \in V(U)$  such that (O)

$$v^\ell \rightarrow v \text{ in } H^1(U).$$

→ since  $|e(v)|_{0,U} = \lim_{\ell \rightarrow \infty} |e(v^\ell)|_{0,U} = 0$ , hence  $v = 0$  by

the question 1. But this contradicts the relation  $\|v^\ell\|_{1,U} = 1 \quad \forall \ell$ .

Cor. 2

$$1. V(u^\varepsilon) = \{ v^\varepsilon = (v_i^\varepsilon) \in H^1(\Omega^\varepsilon); v^\varepsilon = 0 \text{ on } \Gamma_0^\varepsilon \} \quad \text{O.K.}$$

Using Green formula  $\int (\partial_j w)v dx = -\int w \partial_j v dx + \int w v \eta_j d\Gamma$ , we obtain

$$-\int_{\Omega^\varepsilon} \partial_j \{ \dots \} v_i^\varepsilon dx^\varepsilon = \int_{\Omega^\varepsilon} \{ \dots \} \partial_j v_i^\varepsilon dx^\varepsilon - \int_{\Gamma^\varepsilon} \{ \dots \} v_i^\varepsilon \eta_j^\varepsilon d\Gamma^\varepsilon = \int_{\Gamma^\varepsilon} f_i^\varepsilon v_i^\varepsilon d\Gamma^\varepsilon \quad \text{O.K.}$$

Since  $e_{ij}^\varepsilon(u^\varepsilon) \partial_j v_i^\varepsilon = e_{ij}^\varepsilon(u^\varepsilon) e_{ij}^\varepsilon(v^\varepsilon)$ , we have O.K.

$$\int_{\Omega^\varepsilon} \{ \lambda^\varepsilon e_{pp}^\varepsilon(u^\varepsilon) e_{qq}^\varepsilon(v^\varepsilon) + 2\mu^\varepsilon e_{ij}^\varepsilon(u^\varepsilon) e_{ij}^\varepsilon(v^\varepsilon) \} dx^\varepsilon = \int_{\Omega^\varepsilon} f_i^\varepsilon v_i^\varepsilon dx^\varepsilon + \int_{\Gamma^\varepsilon} g_i^\varepsilon v_i^\varepsilon d\Gamma^\varepsilon.$$

$$2. B^\varepsilon(u^\varepsilon, v^\varepsilon) = \int_{\Omega^\varepsilon} \{ \dots \} dx^\varepsilon, L^\varepsilon(v^\varepsilon) = \int_{\Omega^\varepsilon} f_i^\varepsilon v_i^\varepsilon dx^\varepsilon + \int_{\Gamma^\varepsilon} g_i^\varepsilon v_i^\varepsilon d\Gamma^\varepsilon \quad \text{O.K.}$$

3.1. Using the bijection  $\Pi^\varepsilon$ , we obtain  $u(\varepsilon) \in V(u) = \{ v = (v_i) \in H^1(\Omega); v = 0 \text{ on } \Gamma_0 \}$ .

$$\text{Using } \frac{\partial^\varepsilon}{\partial x} = \frac{\partial}{\partial \xi} \frac{1}{\varepsilon} \frac{\partial}{\partial \xi} = \frac{1}{\varepsilon} \frac{\partial}{\partial \xi}, \int_{\Omega^\varepsilon} \theta(x^\varepsilon) dx^\varepsilon = \varepsilon \int_{\Omega} \theta(\Pi^\varepsilon x) dx, \int_{\Gamma^\varepsilon} \theta(x^\varepsilon) d\Gamma^\varepsilon = \int_{\Gamma} \theta(\Pi^\varepsilon x) d\Gamma,$$

The scalings and the assumptions, we obtain

$$\begin{aligned} & \frac{1}{\varepsilon^4} \int_{\Omega^\varepsilon} (\lambda + \varepsilon u) e_{33}(u(\varepsilon)) e_{33}(v) dx^\varepsilon + \frac{1}{\varepsilon^2} \int_{\Omega^\varepsilon} \{ \lambda e_{00}(u(\varepsilon)) e_{33}(v) + \lambda e_{33}(u(\varepsilon)) e_{33}(v) + 4\mu e_{33}(u(\varepsilon)) e_{33}(v) \} dx^\varepsilon \\ & + \int_{\Omega^\varepsilon} \{ \lambda e_{00}(u(\varepsilon)) e_{33}(v) + 2\mu e_{AB}(u(\varepsilon)) e_{AB}(v) \} dx^\varepsilon = \int_{\Omega^\varepsilon} f_i v_i dx^\varepsilon + \int_{\Gamma^\varepsilon} g_i v_i d\Gamma^\varepsilon. \end{aligned}$$

$$\text{Then } B_{-4}(u(\varepsilon), v) = \dots, B_{-2}(u(\varepsilon), v) = \dots, B_0(u(\varepsilon), v) = \dots, L(v) = \dots$$

3.2 We have

$$\begin{aligned} & \frac{1}{\varepsilon^4} B_{-4}(u(\varepsilon), v) + \frac{1}{\varepsilon^2} B_{-2}(u(\varepsilon), v) + B_0(u(\varepsilon), v) = \int_{\Omega^\varepsilon} \left[ \lambda \{ e_{00} e_{33} + e_{00} \frac{1}{\varepsilon^2} e_{33} + \frac{1}{\varepsilon^2} e_{33} e_{33} + \frac{1}{\varepsilon^2} e_{33} \frac{1}{\varepsilon^2} e_{33} \} \right. \\ & \quad \left. + 2\mu \{ e_{AB} e_{AB} + \frac{1}{\varepsilon} e_{33} \frac{1}{\varepsilon} e_{33} + \frac{1}{\varepsilon} e_{33} \frac{1}{\varepsilon} e_{33} + \frac{1}{\varepsilon^2} e_{33} \frac{1}{\varepsilon^2} e_{33} \} \right] dx^\varepsilon \\ & = \int_{\Omega^\varepsilon} \left[ \lambda \{ k_{00}(\varepsilon) k_{33}(\varepsilon; v) + k_{00}(\varepsilon) k_{33}(\varepsilon; v) + k_{33}(\varepsilon) k_{33}(\varepsilon; v) + k_{33}(\varepsilon) k_{33}(\varepsilon; v) \} \right. \\ & \quad \left. + 2\mu \{ k_{AB}(\varepsilon) k_{AB}(\varepsilon; v) + k_{33}(\varepsilon) k_{33}(\varepsilon; v) + k_{33}(\varepsilon) k_{33}(\varepsilon; v) + k_{33}(\varepsilon) k_{33}(\varepsilon; v) \} \right] dx^\varepsilon \\ & = \int_{\Omega^\varepsilon} A k(\varepsilon) : K(\varepsilon; v) dx^\varepsilon \quad \text{2} \\ & \text{Then } \int_{\Omega^\varepsilon} A k(\varepsilon) : K(\varepsilon; v) dx^\varepsilon = L(v). \end{aligned}$$

3.3 Let  $v = u(\varepsilon)$  in the previous variational equation, we obtain

$$\int_{\Omega^\varepsilon} A k(\varepsilon) : K(\varepsilon) dx^\varepsilon = L(u(\varepsilon)). \quad \text{O.K.}$$

since  $\|e\|_{H^1} \leq \|A\| \|B\| \leq AB : B$  and we assume  $\varepsilon \leq 1$ , we obtain using Korn's inequality with boundary conditions:

$$\begin{aligned}
 & \varepsilon M C(\Omega, \Gamma_0)^{-2} \|u(\varepsilon)\|_{1, \Omega}^2 \leq \varepsilon M \|e(u(\varepsilon))\|_{0, \Omega}^2 \\
 & \leq \varepsilon M \|k(\varepsilon)\|_{0, \Omega}^2 \\
 & \leq \int_{\Omega} A k(\varepsilon) : k(\varepsilon) dx \quad \text{(circled)} \\
 & \leq \|L\|_{L(H^2(\Omega); \mathbb{R})} \|u(\varepsilon)\|_{1, \Omega}.
 \end{aligned}$$

Then the norms  $\|u(\varepsilon)\|_{1, \Omega}$  and consequently the norms  $\|k(\varepsilon)\|_{0, \Omega}$  are bounded independently of  $\varepsilon$ .

Hence there exists a subsequence  $(u(\varepsilon))$  and a subsequence  $(k(\varepsilon))$ , and there exist  $u \in H^1(\Omega)$  and  $k \in L_s^2(\Omega)$  such that

$$u(\varepsilon) \rightarrow u \text{ in } H^1(\Omega) \text{ as } \varepsilon \rightarrow 0,$$

$$k(\varepsilon) \rightarrow k \text{ in } L_s^2(\Omega) \text{ as } \varepsilon \rightarrow 0.$$